JC10 Rec'd PCT/PTO 0.8 JUL 2005
PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | TRANSMITTAL LETTER DESIGNATED/ELECTI CONCERNING A FILIN | ATTORNEY'S DOCKET NUMBER 411000-136 | | | | | | | | |
|---|---|---|--|--|--|--|--|--|--|--|
| | | | 1 STAPPINE ATION NO. 1 FOWN, see 37 CFR 1.5) | | | | | | | |
| INTERNATIONAL APPLICATION NO. PCT/DE03/04052 | | INTERNATIONAL FILING DATE December 9, 2003 | PRIORITY DATE CLAIMED January 9, 2003 | | | | | | | |
| TITLE O | INVENTION | ORGANO-RESISTIVE MEMORY UNIT | | | | | | | | |
| APPLICA | NT(S) FOR DO/EO/US Axel GERLT and Wolfgang CLEI | MENS | | | | | | | | |
| 1. 🔯 2. 🗆 | therewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin patiental examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and (21). | | | | | | | | | |
| 3. 🖾 | This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | |
| 4. 🛛 | The US has been elected (Article 31). | | | | | | | | | |
| 5. 🛛 | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | |
| | a. 🛛 is attached herewith (required only if not communicated by the International Bureau). | | | | | | | | | |
| | b. has been communicated by the International Bureau. | | | | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US) | | | | | | | | | |
| 6. 🛛 | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | |
| `` | a. X is attached hereto. | | | | | | | | | |
| 4, | b. has been previously submitted u | nder 35 U.S.C. 154(d)(4). | | | | | | | | |
| 7. 🖾 | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | |
| | a. 🖾 are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| | b. have been communicated by the International Bureau. | | | | | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | |
| | d. have not been made and will not be made. | | | | | | | | | |
| 8. 🖾 | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | | | |
| 9. 🛛 | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. 🔲 | An English language translation of the annexes to the International Preliminary Examination Report under | | | | | | | | | |
| | PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | |
| Items 11 | to 20 below concern other document | (s) or information included: | | | | | | | | |
| 11. 🛛 | An Information Disclosure Statement un | nder 37 CFR 1.97 and 1.98. | | | | | | | | |
| 12. 🛛 | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | |
| 13. 🖾 | A preliminary amendment. | | | | | | | | | |
| 14. 🔲 | An Application data sheet under 37 CFR 1.76. | | | | | | | | | |
| 15. 🔲 | A substitute specification. | | | | | | | | | |
| 16. 🔲 | A change of power of attorney and/or address letter. | | | | | | | | | |
| 17. 🔲 | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. | | | | | | | | | |
| 18. 🔲 | A second copy of the published international Application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 19. 🔲 | A second copy of the English language translation of the international application under 35 U.S.C. 15(d)(4). | | | | | | | | | |
| 20. 🛛 | Other items or information: PCT Request; International Search Report; Demand; Written Opinion (in German); Response to Written Opinion (in | | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JC20 Rec'd PCT/PTO 08 JUL 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| U.S. APPLICATEDINO. IT FOW 1 Se SC. FR. 5) INTERNATIONAL APPLICATION NO. PCT/DE03/04052 | | | | | | | ATTORNEY'S DOCKET NUMBER 411000-136 | | | | |
|---|---|--------------|-----------------------------|--|-----------|---------------------------|--|--------------|---------------------------------------|--|--|
| 21. The following fees are submitted: | | | | | | | | CALCULATIONS | PTO USE ONLY | | |
| ☐ Basic national fee \$300.00 | | | | | | \$300.00 | \$ | 300 | | | |
| 22. 🔽 Exam | inaton fee | | | | | | | | | | |
| If International provisions of PCT | | | | | | | | | | | |
| provisions of PCT Article 33(1)-(4) | | | | | | | | 200 | | | |
| 23. 🛛 Searc | h fee | _ | | | | | | | | | |
| Search fee (37 C | FR 1.445(a) | | | ! | | | | | | | |
| | | • | • | | | \$100.00 | | | | | |
| International Search Report prepared and provided to the Office\$400.00 | | | | | | | | | | | |
| All other situations\$500.00 | | | | | | | | 400 | | | |
| TOTAL OF 21, 22 and 23 = | | | | | | | | 900 | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | | |
| Total Sheets | Extra Sheets Number of each a | | | additional 50 or fraction RATE | | | | | | | |
| -100 = | | /50 = | 0 | | | x \$250.00 | \$ | 0 | | | |
| Surcharge of \$13 | | | | n later than 30 months from the e | arliest c | | \$ | <u> </u> | | | |
| (37 CFR 1.492(e) | | NUMBE | P EII ED | NUMBER EXTRA | | RATE | \$ | | .,,,, | | |
| , | • | | | | : | | | 400 | | | |
| Total Claims 22 | | 22 | - 20 = | 2 | | X \$50.00 | \$ | 100 | | | |
| Independent Clair | ms | 1 | - 3 = | 0 | | X \$200.00 | \$ | 0 | | | |
| MULTIPLE DEPE | NDENT CL | AIM(S) (if a | applicable) | | <u> </u> | + \$360.00 | \$ | | | | |
| □ Applicant deli | | *** | Can 27 CER 4 27 | | F ABOV | E CALCULATIONS = | \$ | 1,000 | | | |
| above are re | | | See 3/ CFR 1.2/ | . The fees indicated | | + | \$ | 4 | | | |
| SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the | | | | | | | | | | | |
| earliest claimed p | | | | | | + | \$ | | | | |
| | | | | • | TOTA | AL NATIONAL FEE = | \$ | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | | | \$ 40 | | | |
| | | | | | TOTAL | FEES ENCLOSED = | \$ | \$1,040 | | | |
| | | | | | | | i | nount to be | \$ | | |
| | | | | | | | | arged: | \$ | | |
| a. 🛭 Ac | heck in the | amount of | \$ <u>1,040</u> to cover th | e above fees is enclosed. | | | | | | | |
| | Please charge my Deposit Account No. <u>03-0678</u> in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| | | | | charge any additional fees which copy of this sheet is enclosed. | may be | required, or credit any c | verp | ayment to | | | |
| d. | | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to | | | | | | | | | | | |
| restore the appli | • | • | itus. | | | | | // | | | |
| SEND ALL CORF | KESPONDE | 20/ | An- | | | | | | | | |
| Willis | am Squire | | | | | SIGNATURE | 1 | | · · · · · · · · · · · · · · · · · · · | | |
| Carella, Byrne, Bain, Gilfillan, Cecchi, Stewart & Olstein William Squire | | | | | | | | J | | | |
| 5 Becker Farm Roseland, New Jersey 07068 NAME | | | | | | | | | | | |
| | | J.J.J., 0 | | | | 25,378 | | | | | |
| | | N NUMBER | | | | | | | | | |